



2652

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

TIMOTHY C. OSTWALD ET AL.

Group Art Unit:

Examiner: J. Evans

Serial No.: 10/626,986

Filed: July 25, 2003

For: SMALL LIBRARY HORSESHOE ARCHITECTURE

Attorney Docket No.: 99-043-TAZ

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)(3)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(3), i.e., before the mailing date of a first Office Action on the merits.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).


Consideration and entry into the record of these references is respectfully

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8		
I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on:		
8/11/03	Stephanie Klepp	
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requested.

Respectfully submitted,

**TIMOTHY C. OSTWALD ET AL.**

By:   
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Date: August 11, 2003

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<b>Substitute for Form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet 1 of 1				<b>Complete if Known</b>	
				Application Number	10/626,986
				Filing Date	July 25, 2003
				First Named Inventor	Ostwald et al.
				Group Art Unit	2652
				Examiner Name	J. Evans
				Attorney Docket Number	99-043-TAZ

**U.S. PATENT DOCUMENTS**

Examine r Initials	Cite No. <sup>1</sup>	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number				
		4,910,619		Suzuki et al.	03/20/1990	
		5,184,261		Noguchi	02/02/1993	
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**FOREIGN PATENT DOCUMENTS**

Examine Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		WO	94/22140	A2	GRAU GmbH & Co.	09/29/1994		X
		WO	98/59339	A1	Storage Technology	12/30/1998		X
		EP	0944077	A1	Hewlett-Packard	09/22/1999		X
		GB	2345375	A	M4 Data Limited	07/05/2000		X

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.